CLERK OF DISTRICT COURT 2016 MAR 30 PM 1: 16 Hon. Heidi J Ulbricht Department 3 Eleventh Judicial District Flathead County Justice Center 920 South Main Street, Suite 310 Kalispell, MT 59901 4 406-758-5906 5 IN THE DISTRICT COURT OF THE ELEVENTH JUDICIAL DISTRICT OF THE STATE OF 6 MONTANA, IN AND FOR THE COUNTY OF FLATHEAD 7 STATE OF MONTANA, Cause No. DC-14-196(C) 8 Plaintiff, 9 AMENDED JUDGMENT AND SENTENCE VS. 10 JOE GLICKMAN, JR., 11 Defendant. 12 (\*\*Amended as to correct Conditions of Probation\*\*) 13

On November 4, 2015, the Defendant, accompanied by counsel, Paul Sullivan, plead guilty to Count II: Failure to Register a Security, a felony common scheme. Pursuant to a plea agreement Count II: Failure to Register as a Securities Salesperson, a felony common scheme; and, Count III: Fraudulent Practices, a felony common scheme were dismissed.

A hearing in aggravation and mitigation of sentence was then held on February 25, 2016, where the Defendant was accompanied by counsel, Paul Sullivan.

In fashioning the sentence to be imposed in this matter, the Court is guided by the sentencing policy of the State of Montana, §46-18-101, MCA, which requires the Court to: (a) Punish each offender commensurate with the nature and degree of harm caused by the offense and to hold an offender accountable; (b) protect the public, reduce crime, and increase the public sense of safety by incarcerating violent offenders and serious repeat offenders; (c) provide

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restitution, reparation, and restoration to the victim of the offense; and (d) encourage and provide opportunities for the offender's self-improvement, rehabilitation, and reintegration back into the community.

In consideration of the nature of his offense, testimony presented to the court, his prior criminal history, his ability to maintain employment and make payments toward his court-ordered financial obligations, the recommendations of the parties and the Pre-Sentence Investigation prepared by Rae Baker of the Adult Probation and Parole office,

IT WAS THE JUDGMENT OF THIS COURT that imposition of sentence upon the Defendant is <u>deferred</u> for a term of <u>five (5) years</u>.

That period of deferral is, however, subject to the following conditions:

- 1. The Defendant shall be placed under the supervision of the Department of Corrections, subject to all rules and regulations of the Adult Probation & Parole Bureau.
- 2. The Defendant must obtain prior written approval from his/her supervising officer before taking up residence in any location. The Defendant shall not change his/her place of residence without first obtaining written permission from his/her supervising officer or the officer's designee. The Defendant must make the residence open and available to an officer for a home visit or for a search upon reasonable suspicion. The Defendant will not own dangerous or vicious animals and will not use any device that would hinder an officer from visiting or searching the residence.
- •3. The Defendant must obtain permission from his/her supervising officer or the officer's designee before leaving his/her assigned district. If the Defendant has not completed repayment of the restitution ordered in condition 15 and the travel would entail expenses greater than \$1,000.00.
- 4. The Defendant must seek and maintain employment, including self-employment, or maintain a program approved by the Board of Pardons and Parole or the supervising officer. For these purposes, Defendant's self-employment meets this requirement if the Defendant can show to the satisfaction of his supervising officer or his/her designee that the self-employment is capable of generating monthly income. Unless otherwise directed by his/her supervising officer, the Defendant must inform his/her employer and any other person or entity, as determined by the supervising officer, of his/her status on probation, parole, or other community supervision.
- 5. Unless otherwise directed, the Defendant must submit written monthly reports to his/her supervising officer on forms provided by the probation and parole bureau. The

- 6. The Defendant is prohibited from using, owning, possessing, transferring, or controlling any firearm, ammunition (including black powder), weapon, or chemical agent such as oleoresin capsicum or pepper spray.
- 7. The Defendant must obtain permission from his/her supervising officer before engaging in a business, purchasing real property, purchasing an automobile, or incurring a debt.
- 8. Upon reasonable suspicion that the Defendant has violated the conditions of supervision, a probation and parole officer may search the person, vehicle, residence of the Defendant, and the Defendant must submit to such search. A probation and parole officer may authorize a law enforcement agency to conduct a search, provided the probation and parole officer determines reasonable suspicion exists that the Defendant has violated the conditions of supervision.
- 9. The Defendant must comply with all municipal, county, state, and federal laws and ordinances and shall conduct himself/herself as a good citizen. The Defendant is required, within 72 hours, to report any arrest or contact with law enforcement to his/her supervising officer or designee. The Defendant must be cooperative and truthful in all communications and dealings with any probation and parole officer and with any law enforcement agency.
- 10. The Defendant is prohibited from gambling.
- 11. The Defendant shall pay all fines, fees, and restitution ordered by the sentencing court.
- 12. The Defendant shall pay the following fees and/or charges which are statutorily mandated. Payment is to be made to the Eleventh Judicial District, Flathead County, Montana, Clerk of Court, 920 South Main, Suite 300, Kalispell, MT 59901.
  - a. Defendant shall pay a surcharge of \$15 for each misdemeanor. [§46-18-236(1)(a), MCA] **Defendant to pay: \$0**
  - b. Defendant shall pay a surcharge of the greater of \$20 or 10% of the fine for each felony, offense. [§46-18-236(1)(b), MCA] **Defendant to pay: \$500**
  - c. Surcharge for victim and witness advocate programs of \$50 for each misdemeanor or felony charge under Title 45, Crimes; §61-8-401 (DUI); §61-8-406 (DUI-alcohol); or §61-8-411 (DUI-delta-9-tetrahydrocannabinol). [§46-18-236(1)(c), MCA] **Defendant to pay: \$50**
  - d. Defendant shall pay a \$10.00 per count for court information technology fee. (§3-1-317, MCA) **Defendant to pay: \$10**
  - e. Costs of assigned counsel, paid to clerk of court: (§46-8-113, MCA)
    - i. \$250 for one or more misdemeanor charges and no felony charges or \$800 for one or more felony charges.

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- f. The Defendant shall pay fine(s) over and above any amount credited for preconviction incarceration as ordered and directed by the court. (§46-18-231, MCA) **Defendant to pay net fine of \$5,000**
- g. The Defendant shall pay costs of legal fees and expenses defined in §25-10-201, MCA, plus costs of jury service, prosecution, and pretrial, probation, or community service supervision or \$100 per felony or \$50 per misdemeanor, whichever is greater. (§46-18-232, MCA).

Court orders Defendant to pay: \$100

- h. The Defendant shall pay Prosecution Fees associated with bad checks issued as ordered and directed by the court. **Defendant to pay fees of \$0.** The offense is not related to bad checks.
- 13. The Defendant shall pay Court Ordered supervision fees. The Probation & Parole Officer shall determine the amount of supervision fees (§46-23-1031, MCA) to be paid each month in the form of money order or cashier's check to the Department of Corrections Collection Unit, P.O. Box 201350, Helena, MT 59620 (\$50 per month if the Defendant is sentenced under §45-9-202, MCA, dangerous drug felony offense and placed on ISP). The DOC shall take a portion of the Defendant's inmate account if the Defendant is incarcerated.
- 14. There is a \$50 fee at the time a PSI report is completed, unless the court determines the Defendant is not able to pay the fee within a reasonable time (§46-18-111, MCA). The Defendant shall submit this payment to the Department of Corrections Collection Unit, P.O. Box 201350, Helena, MT 59620.

Defendant to pay \$50

15. The Defendant shall pay court-ordered restitution by money order or cashier's check sent to the Department of Corrections, Collection Unit, P.O. Box 201350, Helena, MT 59620. The Defendant shall be assessed a 10% administration fee on all restitution ordered. All of the methods for collection of restitution provided under §46-18-241 through §46-18-249, MCA, shall apply, including garnishment of wages and interception of tax refunds. Pursuant to §46-18-244(6)(b), MCA, the Defendant shall sign a statement allowing any employer to garnish up to 25% of his/her wages. The Defendant shall continue to make monthly restitution payments until he/she has paid full restitution, even after incarceration or supervision has ended.

Restitution is owed to:

\$35,000.00 Todd Featherly 205 Peregrine Lane Whitefish MT 59937

\$20,000.00

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- 16. The restitution amounts are due and owing as of the date of this Judgment. However, if the Defendant is unable to pay the full amount of restitution right away, he shall pay; at least \$5,000.00 within the first year of his sentence; an additional \$9,000.00 within the second year of his sentence; and additional \$13,000.00 within the third year of his sentence; an additional \$17,000.00 within the fourth year of his sentence; and, the remainder within the fifth year of his sentence. In the event that the Defendant pays more in a given year than the amounts listed, and remainder shall be credited towards the total due in the subsequent year.
- 17. The Defendant, convicted of a felony offense, shall submit to DNA testing. (§44-6-103, MCA)
- 18. The Defendant shall not, whether in his position of employment or otherwise, and whether or not compensated to do so: offer, sell, or negotiate or solicit the sale of any security, investment, or other financial product or service; or offer any recommendation regarding the advisability of the purchase or sale of any security, investment, or other financial product or service.
- 19. The Defendant shall not enter any casinos.
- 20. The Defendant shall provide yearly credit report.
- 21. The Defendant shall not knowingly associate with probationers, parolees, prison inmates, or persons in the custody of any law enforcement agency without prior approval from the Probation & Parole Officer. The Defendant shall not associate with persons as ordered by the court or BOPP.
- 22. The Defendant shall not knowingly have any contact, oral, written, electronic or through a third party, with the victims unless such contact is voluntarily initiated by the victims through the Department of Corrections. DOC staff may notify victims about the availability of opportunities for facilitated contact with their offenders without being considered "third parties."
- 23. The use of marijuana will be detrimental to the Defendant's rehabilitation and to the safety of the community. The Defendant is, therefore, prohibited from obtaining a medical marijuana registry card without prior authorization from the sentencing court.
- 24. The Defendant shall comply with all sanctions given as a result of an intervention, on-site (preliminary), or disciplinary hearing.
- 25. The PSI report shall be released by the Department to certain persons, such as treatment providers, mental health providers, and/or medical providers, as needed for the Defendant's rehabilitation.

The Defendant is to be given credit for -0- days served in custody pending final 1 disposition in this matter. 2 The Defendant is hereby advised that he has 120 days from the date of filing this 3 Judgment and Sentence to contest any perceived differences between this written Judgment and 4 the Court's oral pronouncement of sentence. 5 Any bond posted in this matter is hereby exonerated and is to be released to the 6 Defendant or his posting surety. 7 DONE IN OPEN COURT the 25th day of February, 2016. 8 DATED this 30 day of March, 2016. 9 10 11 District Court 12 13 cc: Michael Kakuk, Special Deputy County Attorney Emailed 3/31/2016 of Paul Sullivan, Defense Counsel 14 Joe Glickman, Jr., Defendant Flathead County Sheriff's Office 15 Adult Probation and Parole Department of Corrections 16 Department of Justice 17 Montana State Prison 18 19 20 21 22 23 24 25